


**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

		Application Number	10/656,476
		Filing Date	9/5/2003
		First Named Inventor	Carey E. Garibay
		Art Unit	3621
		Examiner Name	
Total Number of Pages in This Submission	12	Attorney Docket Number	BEAS-01454US5

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Preliminary Amendment <input checked="" type="checkbox"/> Supplemental ADS <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO form 1449 <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): <input type="checkbox"/> Post Card
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Joseph P. O'Malley Fliesler Dubb Meyer & Lovejoy LLP
Signature	
Date	January 6, 2004
	Reg. No.: 36,226

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Joseph P. O'Malley		
Signature		Date	01/06/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## INFO Application

Inventor(s): Garibay, et al.  
Appln. No.: 10/656,476  
Confirm. No.: 8634  
Filed: September 5, 2001  
Title: SELF-SERVICE CUSTOMER LICENSE  
MANAGEMENT APPLICATION USING  
SOFTWARE LICENSE BANK

## PATENT APPLICATION

Art Unit: 3621

Examiner:

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

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(Attorney Signature)

Joseph P. O'Malley, Reg. No. 36,226  
Signature Date: January 6, 2004.

## PRELIMINARY AMENDMENT

Art Unit 3621  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Before examining the subject application, please amend the subject application as follows.

### In the Specification:

Please amend the application to include the Priority Claim.

This application claims priority to the following U.S. Provisional Patent Applications:

U.S. Provisional Patent Application No. 60/475,456, entitled "Self-Service Customer Management Application", filed June 3, 2003 and U.S. Provisional Patent Application No. 60/485,876, entitled "Self-Management Customer License Management Application", filed July 9, 2003.

### REMARKS

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 06-1325 for any matter in connection with this Preliminary Amendment.

Respectfully submitted,

Date: 1/06/04

By:   
Joseph P. O'Malley  
Reg. No. 36,226

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